



Introducing a low damage system incorporating rocking braced frame and resilient slip friction joint as a shear key

K. Sahami & P. Zarnani

Auckland University of Technology, Auckland.

P. Quenneville

University of Auckland, Auckland.

ABSTRACT

In this paper, to achieve a self-centring damage avoidance rocking framed system, RSFJ has been employed as a shear link between braced frame and its boundary columns. Such a system could be used for a single or coupled braced frame. This system could be adopted as an alternative to conventional rocking systems to reduce their design's complexity and implementation challenges. Covering taller rocking systems is another advantage of such a configuration. In this study, the performance of this configuration has been studied and assessed, then to evaluate the seismic performance of the introduced system the results have been compared with an isolated braced frame (LRB isolation at the ground level).

The case study, include a seven-story prototype building equipped with a conventional special braced frame. As the results demonstrate, the proposed rocking system could provide structures with a fully self-centring low damage lateral system which is a crucial factor to evaluate the required time and cost for building rehabilitation. The self-centring capability of a system also minimises the vulnerability of structures against severe aftershocks on consecutive seismic events. Furthermore, like the base-isolating concept, the rocking systems rely on shifting the fundamental mode to decline the transmitted forces, so compare to the ordinary braced frame, the absorbed energy would be at a lower rate. However, as the rocking systems are under the influence of higher mode effect to improve its efficiency, the influence of having multiple rocking levels also have been studied.

Keywords: *RSFJ, Resilient Slip Friction Joint, Self-centring, Rocking braced frame, Energy dissipation, Low damage design*

1 INTRODUCTION

Some of the survived ancient structure in Greek, Iran and other seismic prone areas have been tolerated the lateral force including earthquake and wind during their life relying on the rocking mechanism. Might be claimed that the mechanism was not designed intentionally but what is undeniable is that they could resist and also return to their position after subjecting seismic forces by this kind of mechanism. Temples in Greece or some slender structure in Chile are a convincing example of this kind of structures [1]. Muto et al. [2] had done the first analysis of rigid rocking body explained the rocking mechanism. He conducted a small-scale experimental test subjected to ground excitation and theoretically derived the relation of rocking of a rigid body. He concluded a rocking body resists the lateral static load till the center of mass reach to the rocking toe.

However, the concept of rocking structures as a lateral resisting system which mitigate the seismic demand was initially introduced by Housner [3]. He reported his observation regarding the less amount of damage in flexible slender structures compares to stiffer structures as a result of ductility of such systems. Cough et al. [4] tested a half-scale three-story steel rocking moment frame. This result was compared with the fix base situation, and the results showed a reduction in members force and also stories acceleration. Displacement reduction was a concern of such a system, so Kelly et al. [5] consider a yielding type damper in the base of the same structure. The designed passive system activated during the uplift phase and dissipated energy by material nonlinearity. As expected, results represented less amount of deflection demand while base shear was at the same level to the rocking system without those fuse elements. Midorikawa et al. [6] designed a yieldable base plate to dissipate energy in the rocking frame for a half-scale three-story building. They tested a range of thickness for base plates, and as expected the thicker base plate, the more force reduction and more displacement demand reported. Roke et al. [7] conducted a controlled rocking steel frame (CRSBFs) with post-tensioning tendons and supplementary energy dissipation in both sided of the wall attached to boundary columns.

The University of Illinois at Urbana-Champaign (Eatherton, Hajjar et al. [8]) had investigated the performance of CRSBFs with post-tensioned cables to have the self-centring force and yielding damper to dissipate energy. The system was designed to have fuse just in damper at roof drift of 3% while the test pushed to the 4%. However, the PT system experienced failure at strain as low as 0.85%, even though component tests of strains showed elongation greater than 4.5%. For the next phase, the strain capacity of strands improved by 1.3%. The structure proved could remain self-centred up to 2500-year seismic event while the post-tensioning yielded remarkably at this amount (3.9% roof drift) (Eatherton and Hajjar [9]). Wiebe [10] conducted a test setup of a 30% scale of an eight-story post-tensioned frame. In this research, he proposed two approaches to mitigate higher mode effect: 1- multiple rocking joints; 2- shear control brace, which he considered nonlinear brace at the first story. No significant structural damage was observed in more than 300 dynamic tests, many of them beyond the 2500-year level. He reported a higher rate of force in member to compare to push over analysis due to higher mode effect. The outcome of this research was used in the New Zealand design guide for CRSBF [11] systems which proposed the ductility factor of four for the load-bearing system and six non-load bearing systems. Zarnani and Quenneville [12] introduced a new generation of friction damper which provides restoring force and energy dissipation combined in one compact joint. Such resilient slip friction joint (RSFJ) was initially studied in a rocking timber wall application as a hold-down (Hashemi et al. [13]), which later was employed in the different application including tension only brace (H. Bagheri et al [14]) and also in a practical project (Nelson airport terminal, NZ). Darani et al. [15] extended this concept to rocking concrete shear walls as a with RSFJ hold-downs. Sahami et al. [16] introduce the idea of rocking wall with self-centring shear keys (rotational-RSFJs). Less possibility of the creating high-stress zone in single and also couple shear walls and reducing the higher effect were advantages of the introduced system which make it a more facilitate to be employed in taller

rocking buildings. In this study this idea has been extended to braced frame rocking system and the results has been compared with the other concept of isolating structure (LRB isolation).

2 RESILIENT SLIP FRICTION JOINT (RSFJ)

In RSFJ restoring force comes from a specific steel grooved plates which are tied through high strength bolts and disk springs. By slipping of grooved plates, the input energy is dissipated through frictional resistance. Based on the free-body diagrams presented in Fig. 22, the design procedure is developed for the prediction of the performance of the RSF joint [13]. The slip force (F_{slip}) and residual force (F_{res}) are determined by the following equations:

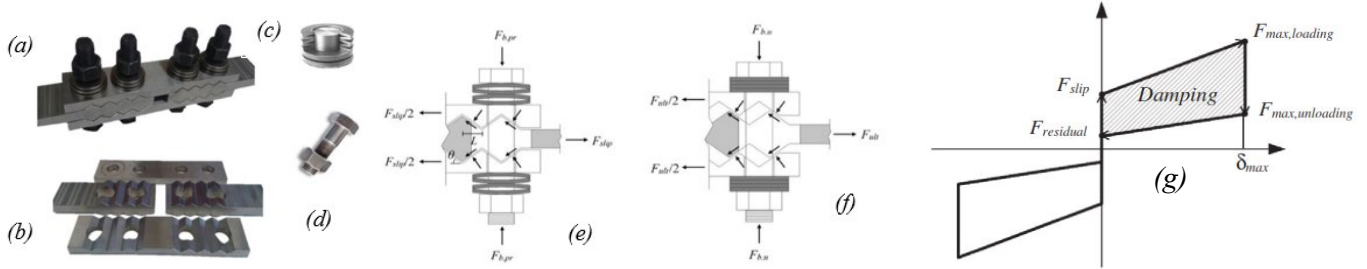


Figure 1: Assembly of the RSF Joint; b) Cap plates and slotted centre plates; c) Disk springs; d) High strength bolts; e) Free body diagrams RSF joint on the brink of slippage; f) at ultimate deflection; g) The general hysteresis behaviour of RSFD [13]

$$F_{RSFJ,slip} = 2n_b F_{b,pr} \left(\frac{\sin \theta + \mu_s \cos \theta}{\cos \theta - \mu_s \sin \theta} \right) \quad (1)$$

$$F_{RSFJ,res} = 2n_b F_{b,pr} \left(\frac{\sin \theta - \mu_k \cos \theta}{\cos \theta + \mu_k \sin \theta} \right) \quad (2)$$

Where n_b is the number of bolts on each splice, θ is groove angle, $F_{b,pr}$ is clamping force of prestressing and the μ_s and μ_k are the static and kinetic coefficient of friction respectively, while considered $\mu_k = .85\mu_s$ [13].

The general hysteresis behaviour of RSFJ is illustrated in Fig. 1(g). $F_{ult,loading}$ and $F_{ult,unloading}$ are the system forces at the maximum disk springs displacement and bolts force.

$$F_{b,u} = F_{b,pr} + K_s \Delta_s \quad (3)$$

$F_{ult,loading}$ and $F_{ult,unloading}$ is derived by replacing the bolt forces in Eq. 1 and Eq. 2 by Eq. 3, and μ_s and μ_k with μ_k and μ_s .

3 STRUCTURAL ISOLATION, EFFECTIVE APPROACH TO DECREASE SEISMIC TRANSMITTED FORCE

Contrary to gravity load, structural responses to seismic actions depend on the dynamic specifications of a structure. Strengthening structures somehow is along with stiffening them and therefore lead to absorbing a higher level of earthquake energy which creates a loop to finally structural strength can cope with the induced forces. The more consistent the structures are with seismic movements; the less internal interactions and reactions arise in structures. This is the root idea of developing the isolation system which nowadays accounts one of the most effective approaches especially for acceleration sensitive structures including

hospitals, telecommunication center and so on. Base isolation systems through a sliding motion shift the fundamental period to a higher level to decrease the absorbed force level. The other approach which follows this concept is rocking systems. Rocking motion let the structure to get the harmonic of the seismic excitation by a rotational movement which leads to a lower level of energy absorption. This mechanism pointed out as one the key factor to save many ancient structures which have no rigid base and are free to slide and rock on the ground.

All rocking systems need to have a hold-down together with dissipating mechanism to reach the desired level of seismic performance. In conventional rocking systems, to satisfy mentioned conditions, PT tendons with a kind of sacrificial element for dissipating input energy are employed. However, apart from unbonded post-tensioning implementation complexity, especially for tall structures, loss of tension in strands, always has been a concern for engineers. Also, systems with yielding mechanism are vulnerable to severe aftershocks. So, such systems require to have particular inspection and maintenance after an event. RSFJ make it possible that this joint being used with boundary column to provide structures with sufficient restoring force as well as damping mechanism simultaneously, which eliminates the need for regular inspections and post-event maintenance.

3.2 Single rocking braced frame

The proposed configuration for a single rocking frame with RSFJs is shown in Fig. 2. Braced frame and columns in this configuration are attached to the floor and bracket beams are used to connect shear links to frame and end columns. The rocking moment can be found by taking the moment about the rocking base:

$$M_{rock} = M_{weight} + M_{damper} = W \frac{l}{2} + n_d [F_{DL_i}(l+d) + F_{DR_i}(d)] \quad (4)$$

where n_d is the number of dampers in each side of the columns and F_{DL_i} , F_{DR_i} are the dampers force in the left and right sides of the rocking toe. Assuming that the bracket beams, columns are all rigid compared to RSFJs, the deflection in dampers in the right side (δ_{DR}) and left side (δ_{LR}) of the frame is determined.

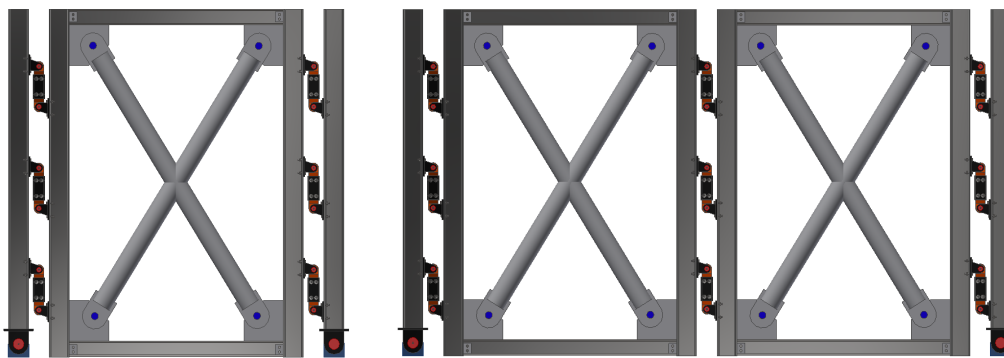


Figure 2: Schematic of single and coupled braced frame

$$\delta_{DR} = (L+d)\sin(\theta) \quad (5)$$

$$\delta_{DL} = d \sin(\theta) \quad (6)$$

While d is the gap between the frame and boundary columns, L is the width of the frame and θ rotating angle.

4 CASE STUDY OF A SEVEN-STORY OFFICE BUILDING

The aim of this study in addition to introducing a new mechanism for the rocking system is having an estimation of the performance of a rocking brace frame compare to a conventional brace frame as well as

base isolation system which is a well-proven efficient structural system. So, in the first step, a seven-story special braced frame building has been designed according to ASCE/SEI 7-16 and then the building equipped with LRB isolation system to reach a desire fundamental period. Then based on the outcome of the LRB system, the rocking system and the shear keys have been designed and adjusted.

4.1 Braced Frame System

A seven-story office building with overall height 24.5 meters (3.5-meter height for each story) with plan dimension of 42 m by 42 m, as shown in Fig. 4 and six bays of braced frame in external perimeter in both directions have been considered.

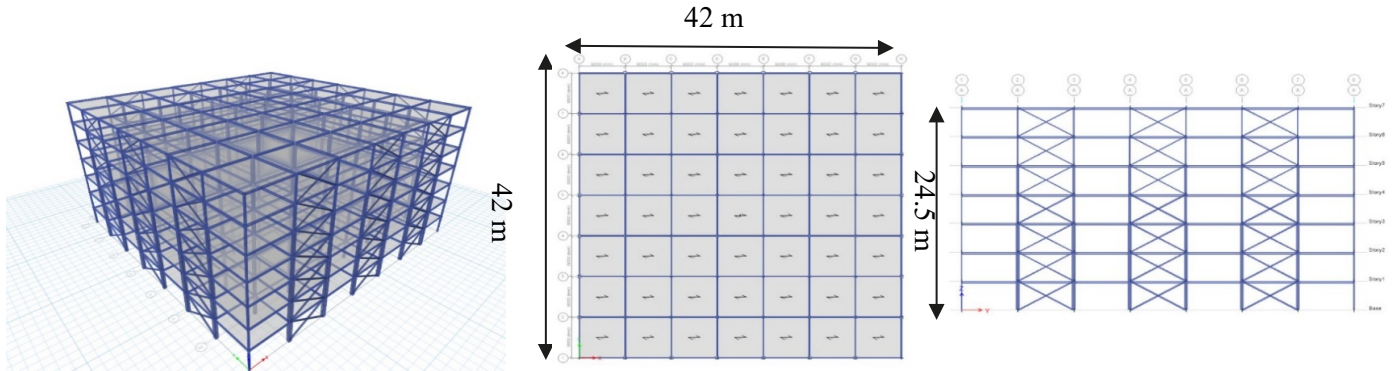


Figure 3: prototype office building

The assumed seismic factors for steel special concentrically braced frames are summarized in Fig. 5.

Seismic Coefficient	Value
I_e (Importance Factor)	1.25
R (Response Modification Factor)	6
C_d (Deflection Amplification Factor)	5
Ω_0 (Overstrength Factor)	2

S_s	1.6 g	S_{MS}	1.92	S_{DS}	1.28	F_v	1.5
S_1	0.5 g	S_{M1}	0.75	S_{D1}	0.5	F_a	1.2

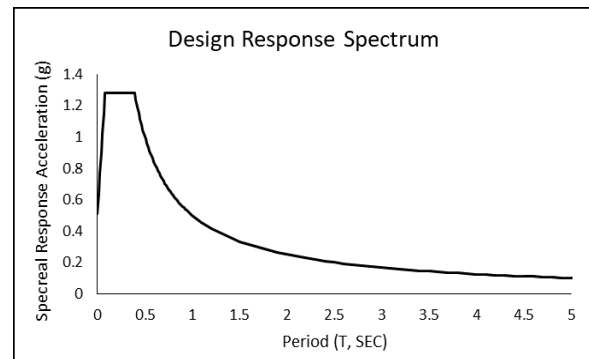
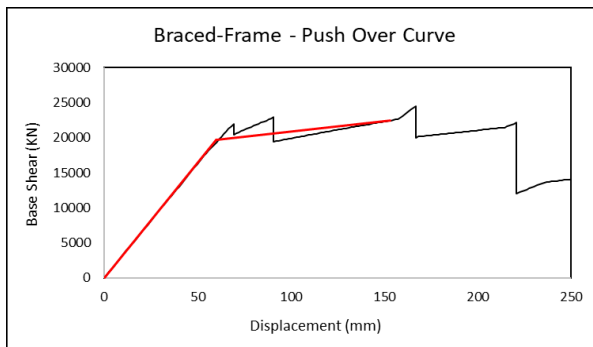


Figure 4: Seismic coefficient and response spectrum curve

Considering the superimposed dead load and live load of 500 and 400 kg/m^2 the base shear calculated by the following equations:

$$V = C_s \cdot m \cdot g \rightarrow C_s = \frac{S_{DS}}{\left(\frac{R}{I_e}\right)} \quad (7)$$

The first mode period determined by numerical model for both directions equal is 0.9 sec. To meet the code strength and drift limitation for the first four story, the pipe 200X12 and the next three story pipe 150X12 have been chosen. Based on the coefficient method, target displacement of push-over analysis is derived:



Target Displacement (mm)	153
Base Shear (kN)	22440
C0	1.21
C1	1
C2	1
Sa, g	0.9
Ti	0.74
Dy(mm)	60
Vy(kN)	1974

Figure 5: Push-over result of special braced frame

Pushing the structure to target displacement the performance of the braces under compression and tension are depicted in Fig. 7 and as can be seen almost braces in compression in the first three story reach to the ultimate capacity in 150 mm displacement.

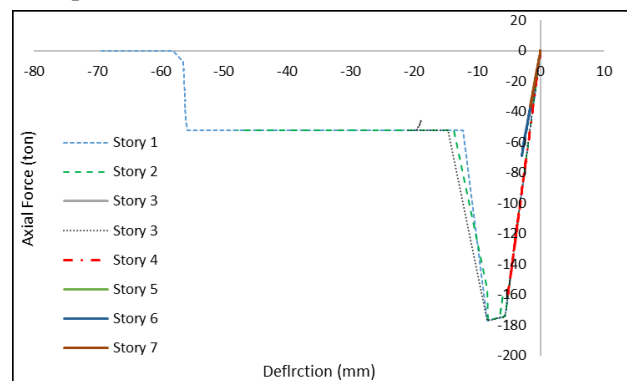


Figure 6: Hinge responses of braces (axial force)

4.2 LRB Isolation System

The design of the LRB joints for the prototype building has been done based on chapter 17 of ASCE/SEI 7-16. For the first step, a target period and desired damping are considered to be 2.75 and 15% respectively. The total number of columns is 64, and the LRB specifications should be designed to reach those values. First, the effective stiffness is determined as below:

$$K_{Dmin} = \frac{4\pi^2}{g} \times \frac{W}{T_D^2} = 41507 \text{ KN} \quad (8)$$

$$K_{Dmax} = 1.3 K_{Dmin} = 53960 \text{ KN} \quad (9)$$

Then maximum and design displacement calculated as:

$$\beta = 15\% \rightarrow B = 1.35$$

$$D_D = \frac{g}{4\pi^2} \times \frac{S_{D1} T_D}{B_D} = 253 \text{ mm} \quad (10)$$

$$D_M = \frac{g}{4\pi^2} \times \frac{S_{M1} T_M}{B_M} = 379 \text{ mm} \quad (11)$$

Then the shear force for isolation units, isolated structure and structure below are achieved:

$$V_b = K_{Dmax} D_D = 13650 \text{ KN} \quad (12)$$

$$V_{MCE} = K_{Dmax} D_M = 20490 \text{ KN} \quad (13)$$

$$V_s = \frac{V_b}{R_I}, 1 < \frac{3}{8R_I} < 2 \rightarrow V_s = 6830 \text{ KN} \quad (14)$$

Then LRB details including K_e , K_d and F_y calculated as 4918 KN.m, 492.8 KN.m and 44.1 KN respectively.

4.3 Rocking Braced Frame Equipped with RSFJs

The intention of this study was to investigate the seismic behaviour of rocking braced frame with RSFJs and also compare the two isolation concepts. Base isolation is known as one of the most efficient structural systems for low and mid-rise building so could be a proper benchmark to be compared. Therefore, for designing the rocking braced frame, the force demand of push-over analysis for LRB has been used to design the RSF joints and then the code limitations, strength and drift have been controlled. Based on the overturning moment achieved from the push-over of structure with LRB joints and Eq. 4 the RSF joints details are determined as below:

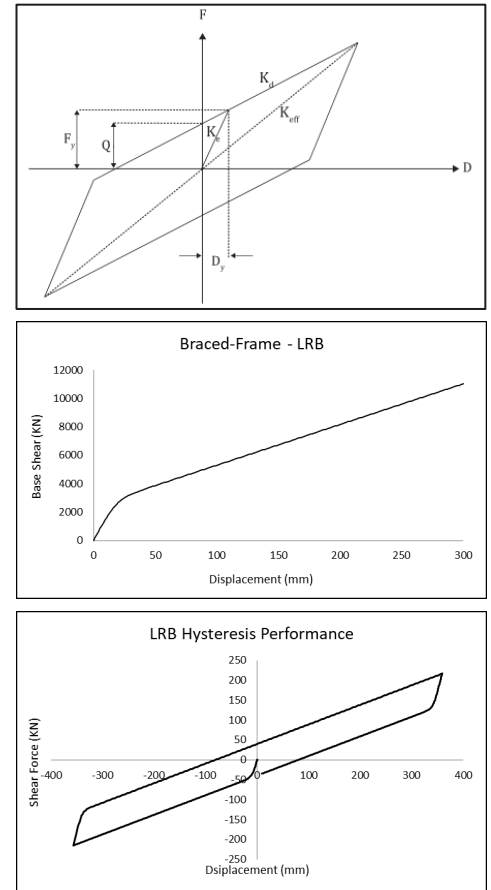


Figure 7: (a) LRB general hysteresis performance [17];

(b) Push over curve of LRB system;

(c) Push-pull hysteresis curve of defined LRB

Table 1: RSFD calculation details and specifications

L (m)	6
D (m)	0.4
F_{DL}	$0.3F_{DR}$
n_w	1
n_d	21
$M_{rocking}$ (KN.m)	227
F_{total} (KN)	3482
F_{damper} (KN)	165
Deflection (mm)	90

Slipping Force (KN)	45
Ultimate Force (KN)	180
Residual Force (KN)	48
Maximum Displacement (mm)	100

As can be seen, the hysteresis performances of the two isolation approaches have been tune to be almost the same. However, it is expected the performance of the rocking system is affected by the higher mode effect, which is not a concern in base isolation system, so the realistic seismic performances must be investigated by NTH analysis.

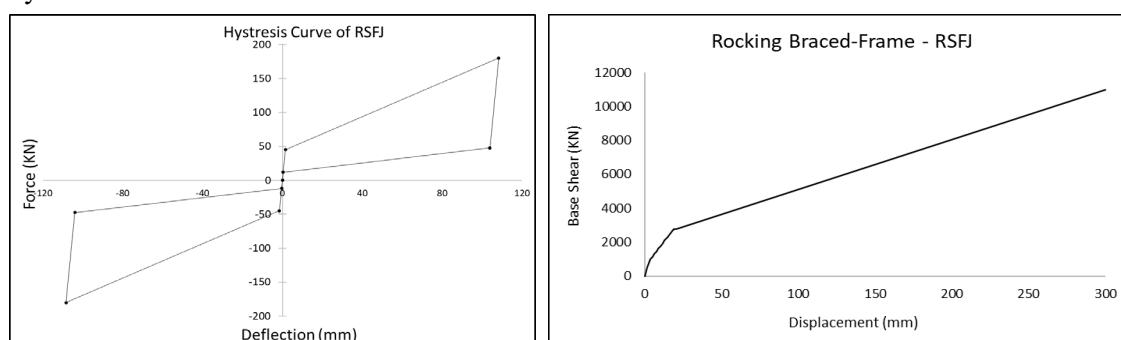


Figure 8: RSFJ hysteresis performance and push over the curve of rocking braced frame

Regarding the brace performance, as mentioned before the diagonal braces of the first three story experienced the buckling while in the two isolation concepts, none of the braces reaches to the buckling or yielding phase.

5 SEISMIC PERFORMANCE OF THE PROPOSED SYSTEM

For non-linear dynamic time-history analyses, a suite of seven ground motions have been scaled to match ASCE/SEI 7-16 spectrum considering $S_1 = 0.5$, $S_5 = 1.6$, Soil type C and $I_e = 1.25$. As results illustrate (Fig. 11) there is not residual displacement in structure as the RSFJs have a self-centring feature and capable of pulling back structure to its initial position and therefore normally there would not be permanent structural damages as long as the RSF joints are appropriately designed in seismic force level. In this structure, the drift limit considered being 2%, which all records are placed lower this limit

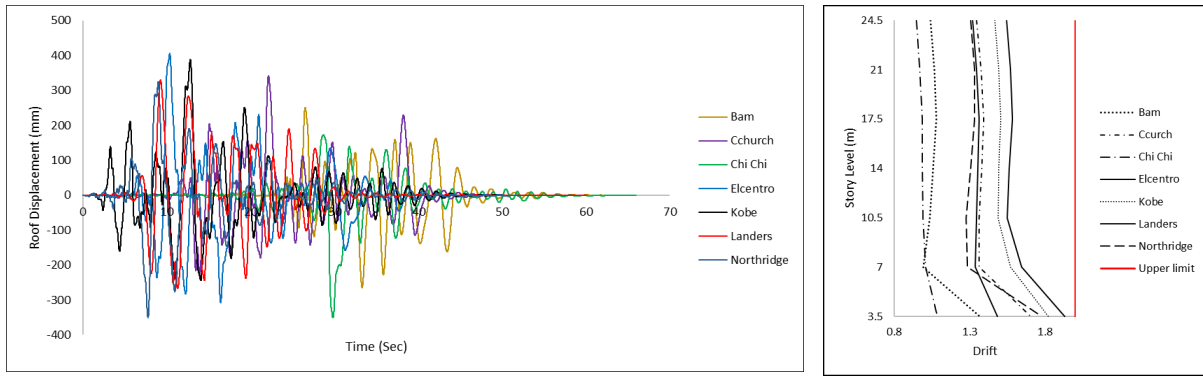


Figure 9: Roof time history displacement and inter-story drift subjected to selected ground motion

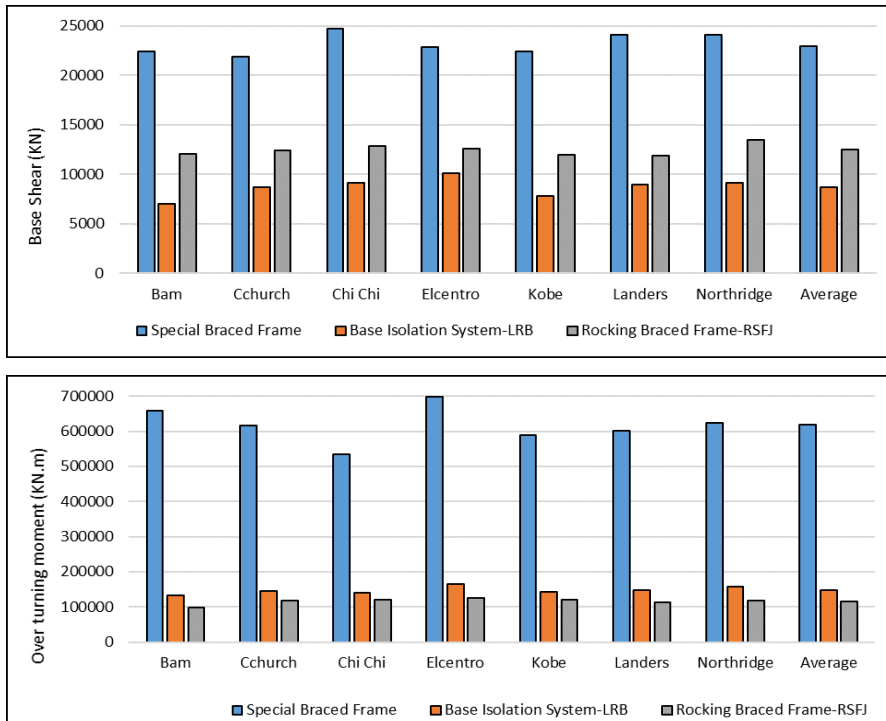


Figure 10: Base shear and an overturning moment of the three structural system

Comparing the results for the three designed systems, the amount of base shears in LRB isolation system on average is 33% of base shear in the special braced frame. This amount for the rocking frame is around 55% (Fig. 12). The differences in base isolation systems and rocking frames are related to the nature of the movement. In the base isolation method, the structure is allowed to slide while rocking is about to rotate, and the parameter which uses to designing rocking shear is overturning moment. In the introduced rocking mechanism as the resisting force is distributed along with the height of the structure, so while the base shear is at a higher level but its effective height is lower so the overturning moment even compares to base isolation system placed at a lower rate. These amounts averagely for base isolation and rocking frame are 23% and 18% of the braced frame respectively (Fig. 12).

6 SUMMARY AND CONCLUSION

In this paper, a new approach has been introduced for rocking braced frame. This proposed configuration relies on RSFJs as shear keys, which connect the braced frame to the boundary columns. The most conventional rocking system provides the required restoring force by the post-tension technology and adds sufficient damping through the yielding dampers. In this study, all these features brought by RSFJs which have been distributed to entire height frame. This system could be adopted as an alternative to conventional

rocking systems to reduce their design's complexity and implementation challenges while covering taller rocking systems. Such a system with boundary columns could be used for a single, coupled or core braced frames, concrete shear or timer walls. In this system, as the resisting force are supplied in all stories makes it possible to have multiple rocking levels in different stories which improve the attenuate the effect of the higher modes.

To investigate the performance of the proposed system and also compare it with the braced frame and also LRB base isolation system, as an efficient lateral resisting system, the seismic behaviour of a seven-story office building has been investigated. Based on the achieved results, the performance of a rocking system equipped with RSFJs technology reasonably improve the dynamic performance of the structure. As results of NTHA of seven ground motions illustrate, compare to the braced frame, the LRB isolation and rocking system lead to 67% and 45% base shear reduction in turn. These amounts, considering the overturning moment, are about 18% and 23% respectively which is a crucial factor of designing the rocking braced frames. The proposed mechanism is fully self-centred and capable of satisfying the inter-story drift limitation. The proposed system would have much less constructional complexity compared to the conventional approach of PT tendons and also because of its configuration could have multiple rocking level which especially for the taller rocking braced frame would be a vital advantage. Experimental testing is also planned to validate the proposed concept further.

ACKNOWLEDGEMENTS

The authors would like to thank the Ministry of Business, Innovation and Employment of New Zealand (MBIE) of New Zealand for the financial support provided for this research project.

REFERENCES

- [1] Makris, Nicos, and Michalis F. Vassiliou (2015). "Dynamics of the rocking frame with vertical restrainers." *Journal of Structural Engineering*, 141.10: 04014245.
- [2] Muto K, U. H., Sonobe T (1960). "Study of the overturning vibration of slender structures." *Proceedings of the 2nd World Conference on Earthquake Engineering*, Tokyo and Kyoto, Japan.
- [3] Housner, G. W. (1963). "The behavior of inverted pendulum structures during earthquakes." *Bulletin of the seismological society of America* 53(2): 403-417.
- [4] Clough, Ray W., and Arthur A. Huckelbridge. Preliminary experimental study of seismic uplift of a steel frame. *Earthquake Engineering Research Center*, College of Engineering, University of California, 1977.
- [5] Kelley, James M. (1977). "Earthquake simulation testing of a stepping frame with energy-absorbing devices. Report No. EERC 77-17."
- [6] Midorikawa M, Takeuchi T, Hikino T, Kasai K, Deierlein G, Ohbayashi M, Yamazaki R, Kishiki S. Seismic Performance of Controlled Rocking Frames with Shear Fuse and PT Wire Anchorage-Shaking table tests on controlled rocking steel frames using the multipurpose inertial mass system: Part I. *Journal of Structural and Construction Engineering*. 2010;75(654):1547-56.
- [7] Roke, D., et al. (2006). Self-centring seismic-resistant steel concentrically-braced frames. *Proceedings of the 8th US National Conference on Earthquake Engineering*, EERI, San Francisco, April.
- [8] Eatherton, M., et al. (2008). The controlled rocking of steel-framed buildings with replaceable energy-dissipating fuses. *Proceedings of the 14th world conference on earthquake engineering*.
- [9] Eatherton, M. R. (2010). Large-scale cyclic and hybrid simulation testing and development of a controlled-rocking steel building system with replaceable fuses, the University of Illinois at Urbana-Champaign.
- [10] Wiebe, Lydell Deighton Andree. Design of controlled rocking steel frames to limit higher mode effects. Toronto: University of Toronto, 2013.
- [11] Wiebe, L. D. A. "Design and construction of controlled rocking steel braced frames in New Zealand." *Improving the Seismic Performance of Existing Buildings and Other Structures in 2015*. 2015. 810-821.

- [12] Zarnani, Pouyan, and Pierre Quenneville. "A resilient slip friction joint." Patent No. WO2016185432A1, NZ IP Office (2015).
- [13] A. Hashemi, F. D., S. Yousef-Beik, H. Abadi, P. Zarmani, P. Quenneville, (2018). "Recent Developments of the Resilient Slip Friction Joint (RSFJ) Technology for Seismic Proofing New and Existing Buildings."
- [14] H. Bagheri, A. Hashemi & P. Quenneville, S.M.M. Yousef-beik & P. Zarnani." An experimental test of a new self-centring tension-only brace using the Resilient Slip Friction Joint." *New Zealand Society for Earthquake Engineering (NZSEE) Conference, 2018*".
- [15] Darani, F. M. Zarnani, P. Haemmerle, E. Hashemi, A. Quenneville, P (2018). "Application of new resilient slip friction joint for seismic damage avoidance design of rocking concrete shear walls." *New Zealand Society for Earthquake Engineering (NZSEE) Conference, 2018*".
- [16] Sahami, K., Veismoradi, S., Zarnani, P., & Quenneville, P. Seismic Performance of Rocking Concrete Shear Walls with Innovative Rotational Resilient Slip Friction Joints." *New Zealand Society for Earthquake Engineering (NZSEE) Conference, 2019*".
- [17] American Society of Civil Engineers. Minimum Design Loads for Buildings and Other Structures, Standard ASCE/SEI 7-16. American Society of Civil Engineering, 2017.